

CURRICULUM VITA  
ALLEN W. BATHKE, JR.

**PERSONAL INFORMATION**

Business      Rovetta Business Annex  
Address:      P.O. Box 3061110  
                 Florida State University  
                 Tallahassee, Florida 32306-1110  
                 e-mail: abathke@cob.fsu.edu

Home            2725 Newmarket Circle  
Address:      Tallahassee, Florida 32309

Phone:        Office - (850) 644-7888 (voice mail available)  
                 Fax - (850) 644-8234  
                 Home - (850) 668-2984

**PROFESSIONAL BACKGROUND**

1987 - Present      Associate Professor of Accounting, The Florida State University

1985 - 1987        Assistant Professor of Accounting, The Florida State University

1984 - 1985        Visiting Assistant Professor of Accounting, The Florida State University

1982 - 1985        Assistant Professor of Accounting, Indiana University,  
                 (on leave - visiting at The Florida State University 1984 – 1985 academic  
                 year)

1977 - 1981        Teaching Associate, The Florida State University

1976 - 1977        Teaching Associate, University of Wisconsin-Milwaukee

1975 - 1976        Research Associate, University of Wisconsin-Milwaukee

**ACADEMIC BACKGROUND**

Doctor of Business Administration, 1982, The Florida State University  
Major: Accounting

Master of Science, 1977, University of Wisconsin-Milwaukee  
Major: Accounting

Bachelor of Science, 1971, University of Wisconsin-Milwaukee  
Major: Mathematics

**HONORS, AWARDS AND GRANTS**

- 2009            Accounting Department Research Grant
- 2008-2009     University Teaching Award
- 2007            Accounting Department Research Grant
- 2002-2003     University Teaching Award
- 2000-2001     Outstanding Professor Award for the 2000-2001 academic year –  
                    recognized by Beta Alpha Psi Accounting Honorary Fraternity
- 1996-1997     University Teaching Award
- 1996-1997     Outstanding Professor Award for the 1996-1997 academic year –  
                    recognized by Beta Alpha Psi Accounting Honorary Fraternity
- 1996-1997     The Florida State University Teaching Incentive Program Award
- 1994            Outstanding Faculty Member for 1994 - one of about 16 university-wide  
                    nominated for the Outstanding Educator Award by the  
                    Panhellenic Association and Interfraternity Council
- 1993-1994     The Florida State University Teaching Incentive Program Award
- 1993            The Florida State University Faculty Member of the month for January, 1993 -  
                    recognized by the Florida State University Gold Key Honor Society
- 1993            Outstanding Faculty Member for 1993 - one of about 21 university-wide  
                    nominated for the Outstanding Educator Award by the  
                    Panhellenic Association and the Interfraternity Council
- 1992-1993     Outstanding Professor Award for the 1992-1993 academic year -  
                    recognized by Beta Alpha Psi Accounting Honorary Fraternity
- 1992            Favorite Professor - one of about 15 university-wide recognized  
                    by Alpha Delta Pi Sorority for the Fall Semester, 1992
- 1989            College of Business Research Grant
- 1987            Accounting Department Research Grant

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1986           The Florida State University Research Grant

1985           Accounting Department Research Grant

1985           School of Business Research Grant (declined)

1983           School of Business Research Grant

1982           School of Business Research Grant

1980-1981      Florida Institute of Certified Public Accountants Educational Foundation  
Graduate Scholarship

1980           Price Waterhouse and Company Doctoral Fellowship

1978-1979      R. Warner Ring Educational Foundation Award

#### **OTHER PROFESSIONAL EXPERIENCE**

1999           Faculty Externship with Andersen, LLP

#### **RESEARCH INTERESTS**

Financial Reporting  
Capital markets  
Forecasting  
Alternative Income Models

#### **TEACHING INTERESTS**

Financial Accounting  
Cost Accounting  
Accounting Theory  
Empirical Accounting Research

#### **PROFESSIONAL ORGANIZATIONS**

American Accounting Association  
Accounting and Finance Association of Australia and New Zealand

## **RESEARCH IN PROGRESS**

Bathke, A. W., Jr., T. Mason, "Market Insight and Market Oversights on firms for which Earnings Follow a Seasonal Random Walk Process"

Bathke, A. W., Jr., R.M. Morton and M. Notbohm, "Nonseasonal Earnings Patterns, Information Dissemination and Stock Market Expectations "

## **PUBLICATIONS**

### **Journal Articles**

Bathke, A. W., Jr., R.M. Morton, M. Notbohm and T. Zhang, "Objective Estimation versus Subjective Perceptions of Earnings Patterns and Post-Earnings-Announcement-Drift " (2013) forthcoming in *Accounting and Finance*.

Lorek, K. S., G. L. Willinger and A. W. Bathke, Jr., "Statistically-Based Quarterly Earnings Expectation Models for Nonseasonal Firms" (2008) *Review of Quantitative Finance and Accounting* 31: pp. 105-119.

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Long-Term Earnings Forecast Models for Nonseasonal Firms" (2006) *The Journal of Business Inquiry*, Volume 5, Issue 1: pp. 39-49.

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "The Security Market's Reaction to Firm's Quarterly Earnings Evidencing Varying Degrees of Autocorrelation" (2006) *Advances in Accounting*, Volume 22, pp. 29-43.

Bathke, A. W. Jr., K. S. Lorek, and G. L. Willinger, "Differential Earnings Behavior and the Security Market Assessment of Variation in Seasonal Earnings Patterns." (2004) *Journal of Accounting, Auditing & Finance* 19: 463-483.

Baginski, S. P., A. W. Bathke Jr., and J. M. Hassell, "Evidence of the Security Market's Ex Ante Assessment of Differential Management Forecast Accuracy," *Advances in Accounting* (1995), pp. 39-59.

Holland, R. G., K. S. Lorek and A. W. Bathke, Jr., "A Comparative Analysis of Extrapolative and Judgmental Forecasts," *Advances in Accounting* (1992), pp. 279-303.

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- Bathke, A. W., Jr., J. M. Hassell and J. Lukawitz, "Relative Accuracy of Quarterly Earnings Forecast Announcements," *Advances in Accounting* (1991), pp. 19-33.
- Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Firm-Size and the Predictive Ability of Quarterly Earnings Data," *The Accounting Review* (January 1989), pp. 49-68.
- Bathke, A. W., Jr., J. M. Hassell and K. S. Lorek, "New Evidence on the Accuracy of Management Forecasts and Time-Series Forecasts of Annual Earnings," *Advances in Accounting* (1989), pp. 67-84.
- Bathke, A. W., Jr., R. L. Rogers and J. J. Stern, "The Security Market Reaction to Tax Legislation as Reflected in Bond Price Adjustments," *The Journal of the American Taxation Association* (Spring 1985), pp. 37-49.
- Bathke, A. W., Jr., and K. S. Lorek, "The Relationship Between Time Series Models and the Security Market's Expectation of Quarterly Earnings," *The Accounting Review* (April 1984), pp. 163-176.
- Lorek, K. S. and A. W. Bathke, Jr., "A Time Series Analysis of Nonseasonal Quarterly Earnings Data," *Journal of Accounting Research* (Spring 1984), pp. 369-379.

### **Other Publications**

- Bathke, A. W., Jr., "The Impact of Financial Leverage on the Association Between Accounting Earnings Numbers and Security Returns," *Proceedings of the Southeast American Accounting Association Meetings* (Spring 1985), pp. 418-422.
- Bathke, A. W., Jr., A Review of the book titled *The Modern Forecaster*, published in *The Journal of Business Forecasting Methods and Systems* (Spring 1985), pp. 28-29.
- Bathke, A. W., Jr., "Capital Structure, Earnings Changes and Stock Price Adjustments," *Proceedings of the Midwest American Accounting Association Meetings* (Spring 1984), pp. 157-166.

### **Presentations**

Bathke, A. W., Jr., R.M. Morton, M. Notbohm and T. Zhang, "Cognitive Bias, Information Environment and Earnings Patterns" presented at the 2012 American Accounting Association Annual Meetings (2012).

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Differential Earnings Behavior and the Security Market Assessment of Variation in Seasonal Earnings Patterns." Presented by Ken Lorek at the 2004 Journal of Accounting, Auditing & Finance Conference.

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Differential Earnings Behavior and the Security Market Assessment of Variation in Seasonal Earnings Patterns" presented at the 2002 American Accounting Association Annual Meetings (2002).

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Differential Earnings Behavior and the Security Market Assessment of Variation in Seasonal Earnings Patterns" presented at The Florida State University (2002).

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "The Security Market Reaction to Nonseasonal Quarterly Accounting Earnings Data," presented at Indiana University (1992).

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "The Security Market Reaction to Nonseasonal Quarterly Accounting Earnings Data," presented at the University of South Florida (1992).

Bathke, A. W., Jr., "The Impact of Financial Leverage on the Association Between Accounting Earnings Numbers and Security Returns," presented at the Southeast American Accounting Association Meeting (Spring 1985).

Bathke, A. W., Jr., "Capital Structure, Earnings Changes and Stock Price Adjustments," presented at the Midwest American Accounting Association Meeting (Spring 1984).

### **PhD DISSERTATION COMMITTEES**

#### **Chairperson**

1998 - 2000      Scott, Winifred, "The Impact of Conservation on the Relation Between Accounting Earnings and Security Returns"

1993 - 1996      McGregor, Scott, "An Investigation of Various Incentives for Early Adoption of SFAS 106: Employer's Accounting for Postretirement Benefits other than Pensions"

**Committee Member**

2005 - 2006      Peterson, Debbie, " The Relationship Between Technical Analysis Generated Returns and the Fama and French Risk Factors as Applied to Individual Securities"

2000 - 2001      Suberly, Lynn, "Evidence on Gains Trading by Financial Institutions: Its Incidence, Motivations and the Security Market's Reaction"

1996 - 1997      Jones, Jeff, "The Implications of Firms' Investment Opportunity Sets for the Informativeness of Earnings, Cash Flows and Accruals"

1996 - 1997      Biggart, Tim, "The Effects of Just-In-Time Inventory System Adoption on Firm Value and Accounting Returns"

1992 - 1994      Wilder, Mark, "A Multi-Industry Analysis of Structural Changes and Earnings Forecasts"

1992 - 1994      Rambo, Bob, "Variation in the Stock Market Response to Earnings Announcements Associated with the Reporting Firm's Position in its Industry's Earnings Release Queue"

1991 - 1992      Branson, Bruce, "An Empirical Examination of Firm-Size Characteristics Associated with Differential Information Environments"

1991 - 1992      Chau, Chak-Tong, "Comparing Forecasting Performance in Analytical Procedures: An Integrated Study Using the State Space, Exponential Smoothing and Regression Models"

1990 - 1991      Krippel, Greg, "The Economic Determinants of the Time-Series Properties of Quarterly Earnings"

1989 - 1990      Dimitry, Ken, "Relative Forecast Ability of Industry-Specific Bivariate Transfer Functions"

1988 - 1989      Lukawitz, James, "The Effect of Partial Equity Issues on the Measurement of Financial Leverage"

1983 - 1984      Philipich, Kirk, "The Effect of Three Factors on the Accuracy of Univariate Time-Series Forecasts of Quarterly Earnings"

## **COURSES TAUGHT**

Seminar in Financial Accounting Theory  
Seminar in Accounting Theory and Standard Setting  
Seminar in Empirical Financial Accounting Research  
Financial Accounting and Reporting I  
Financial Accounting and Reporting II  
Intermediate Financial Accounting II  
Intermediate Financial Accounting I  
Intermediate Financial Accounting Theory  
Advanced Financial Accounting I  
Cost Accounting II  
Cost Accounting I  
Cost Accounting  
Cost Accounting and Analysis for Business Decisions  
Principles of Accounting II  
Principles of Accounting I

## **SERVICE**

### **Department Level**

|                |   |
|----------------|---|
| 1992 - Present | Chairperson, Undergraduate Scholarship and Awards Committee |
| 1996 - 2007    | Director, Ph.D. Program                                     |
| 2008 - Present | Member, Ph.D. Program Committee                             |
| 1995 – 1996    |   |
| 1987 - 1991    |   |
| 1996 - 2007    | Chairperson, Ph.D. Program Committee                        |
| 2012           | Chair, Promotion and Tenure Committee                       |
| 2009           | Chair, Promotion and Tenure Committee                       |
| 2008           | Member, Promotion and Tenure Committee                      |
| 2006           |   |
| 1988 – 2004    |   |
| 1998 – Present | Member, Professional Advisory Board Committee               |
| 1991 - 1997    | Advisor, Upper Level Studies                                |
| 1996 - 1998    | Member, Accreditation Committee                             |



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|---|---|
| 1995 - 1996<br>1987 - 1988                | Member, Policy Committee                                  |
| 1995                                      | Member, Teaching Incentive Program Awards Committee       |
| 1994                                      | Chairperson, Teaching Incentive Program Awards Committee  |
| 1991 - 1992<br>1989 - 1990<br>1985 - 1987 | Member, Undergraduate Scholarship and Awards Committee    |
| 1991 - 2003                               | Member, Curriculum Committee                              |
| 1987 - 1997                               | Member, Faculty Recruiting Committee                      |
| 1985 - 1987                               | Member, Master's Admissions and Scholarship Committee     |
| 1983 - 1984                               | Member, Doctoral Subcommittee on Coursework Restructuring |
| 1982 - 1984                               | Coordinator for Intermediate Financial Accounting II      |

**College Level**

|   |  |
|---|--|
| 2009 - Present                          | Member, Undergraduate Policy Committee                           |
| 2012<br>2009 - 2010                     | Member, Promotion and Tenure Committee                           |
| 1995 - 2007                             | Member, Ph.D. Program Committee                                  |
| 1995 - 1997                             | Chair of the Faculty   |
| 1995 - 1997                             | Member, Executive Committee                                      |
| 1996 - 1997                             | Member, Accreditation Committee                                  |
| 2010 -2012<br>2009<br>2007<br>1993-1995 | Member, Undergraduate Scholarship Committee and Awards Committee |
| 1994                                    | Member, Teaching Incentive Program Awards Committee              |

1985 - 1993            Member, Undergraduate Admissions Appeals Committee  
1985 - 1991            Advisor, Lower Division Studies

1990                    Member, Research Grant Committee

1982 - 1984            Member, Undergraduate Policy Committee

**University Level**

2006 - 2012            Member, Undergraduate Policy Committee

2000 - Present        Member, Advisory Council for Innovative Instruction

2009 - Present        Member, Calendar Committee

2005 - 2007            Faculty Senate  
1993 - 1997

1997                    COFRS Reviewer

1996 - 1999            Member, Library Committee

1996                    Member, Campus Community Campaign Council

1992 - 1996            Member, Convocation Committee  
1986 - 1987

1993 - 2001            Faculty Advisor, Pi Beta Phi Sorority

**Profession**

Editorial Board        *Advances in Accounting*

Occasional Reviewer   *The Accounting Review*

Occasional Reviewer   *The International Journal of Forecasting*

Occasional Reviewer   *Financial Management*

Occasional Reviewer   *Quarterly Journal of Business and Economics*

Occasional Reviewer   Various American Accounting Association Conferences

Member                American Accounting Association Membership Committee (1984-1986)